

Above Minimal Risk

The ethical review and approval process provides alternative routes for the approval of checklists, dependent on the level of risk presented. **Above minimal risk** is automatically identified by a Researcher selecting **YES** to the questions in Section 3 (unless otherwise stated because sometimes selecting **NO** can be high risk). The questions which WILL appear in Section 3 depend on the Filter Questions selected below:

Filter Questions (Section 2):

Is your study solely literature based – see [Literature Review](#)

Does your study involve Human Participants - see [Human Participants](#)

Does your study involve the re-use of data – see [Research Data](#)

Does your study involve the use of human tissue? See [Human Tissue](#)

Does your study involve experimentation on any of the following: animals, animal tissue, genetically modified organisms? See [Experimentation on any of the following: animals, animal tissue, genetically modified organisms](#)

Will your research study take place outside the UK and/or specifically target a country outside the UK? [Research outside UK?](#)

Does your study require HRA Approval and/or NHS Research Ethics Committee Approvals – See [HRA Approval and/or NHS Research Ethics Committee Approvals \(Filter Questions:\)](#)

Section 3 questions

Literature Review

- Will you have access to personal data that allows you to identify individuals which is not already in the public domain?
 - Will the personal data access include any special category data, or any information about actual or alleged criminal activity or criminal convictions?
- Will you have access to confidential corporate or company data (that is not covered by confidentiality terms within an agreement or separate confidentiality agreement)
- Will any identifiable participant data be transferred outside the European Economic Area?

Human Participants

- Are your participants considered vulnerable?
- Do you need a Gatekeeper to access your participants?
- Will the research involve the collection of audio materials
- If **'NO'**: Will the audio recordings be used solely for the purposes of producing an anonymised transcribing/summary and then deleted and will not be used in any outputs or made publicly available?

- Will your research involve the collection of photographic materials which will identify a participant?
- Will your research involve the collection of video materials
- Will the study involve discussions of sensitive topics (e.g sexual activity, drug use, criminal activity?)
- Will any drugs, placebos or other substances (e.g. food substances, vitamins) be administered to the participants?
- Will the study involve invasive, intrusive or potentially harmful procedures of any kind?
- Could your research induce psychological stress or anxiety, cause harm or have negative consequences for the participants or researchers (beyond the risks encountered in normal life?)
- Will your research involve prolonged or repetitive testing?
- Will it be necessary for participants to take part in your study without their knowledge and consent?
- Will financial or other inducements (other than reasonable expenses) be offered to participants?
- Will the personal data collected include any special category data, or any information about actual or alleged criminal activity or criminal convictions which are not already in the public domain?
- Will any identifiable participant data be transferred outside the European Economic Area?

Research Data

- Will the personal data collected include any special category data, or any information about actual or alleged criminal activity or criminal convictions which are not already in the public domain?
- Will any identifiable participant data be transferred outside the European Economic Area?

Human Tissue

- Does your study involve the use of human tissue? (Filter Question)

Experimentation on any of the following: animals, animal tissue, genetically modified organisms

- Does your study involve experimentation on any of the following: animals, animal tissue, genetically modified organisms? (Filter Question)

Research outside UK?

- Will your research study take place outside the UK and/or specifically target a country outside the UK? (Filter Question)

HRA Approval and/or NHS Research Ethics Committee Approvals (Filter Questions:)

- HRA Approval only
- NHS REC Approval only
- NHS Approval and NHS REC Approval