


## 'Risk' Identifiers continued (Section 3)

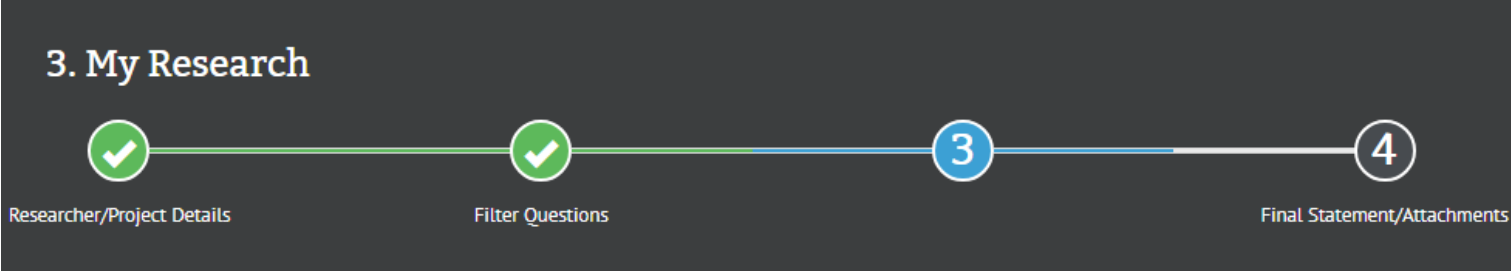
Please note that **not all** questions are highlighted below – only those which the OEC recognises as 'high' risk are displayed.

Questions answered Yes/No (identified below by ) indicates 'above minimal risk'. Based on the answers, the OEC automatically recognises the appropriate 'review' process for the checklist:

- Review Process for [Students \(UG and PGT\)](#)
- Review Process for [Postgraduate Researchers](#)
- Review Process for [Staff](#)

Section 3 - Based on the filter question "Does your study involve Human Participants?"


### 3. My Research




Researcher/Project Details      Filter Questions      3      4  
Final Statement/Attachments


#### Human Participants

##### Participants

Describe the number of participants and specify any inclusion/exclusion criteria to be used. 

Are your participants considered vulnerable? 


Yes  No

Is a Disclosure and Barring Service (DBS) check Required? 

Yes  No

##### Recruitment

Please describe how participants will be identified, approached and recruited. Include details of any relationship between researcher(s) and participant(s), e.g. teacher-student.

Do you need a Gatekeeper to access your participants? 

Yes  No

## Data Collection Activity



Will the research involve the completion of a questionnaire/survey? If yes, don't forget to attach a copy of the questionnaire/survey or sample of questions.

Yes  No

Will the research involve interviews and/or focus groups? If yes, don't forget to attach a copy of the interview/focus group questions or sample of questions.

Yes  No

Will the research involve the collection of audio materials?

Yes  No

Will the audio recordings be used solely for the purposes of producing an anonymised transcript/summary and then deleted and will not be used in any outputs or made publicly available?

Yes  No

Please describe the purpose for retaining the audio recordings.

Will your research involve the collection of photographic materials which will identify a participant?

Yes  No

Will your research involve the collection of video materials?

Yes  No

Will the study involve discussions of sensitive topics (e.g. sexual activity, drug use, criminal activity)?

Yes  No

Will any drugs, placebos or other substances (e.g. food substances, vitamins) be administered to the participants?

Yes  No

Will the study involve invasive, intrusive or potential harmful procedures of any kind?

Yes  No

Could your research induce psychological stress or anxiety, cause harm or have negative consequences for the participants or researchers (beyond the risks encountered in normal life)?

Yes  No


Will your research involve prolonged or repetitive testing?

Yes  No


Save


## Consent



Describe the process that you will be using to obtain valid consent. If consent is not to be obtained explain why. 

**Statement:** Don't forget to attach a copy of the Participant information Sheet/Participant Agreement Form and any other material that will be used in the consent process.

If participants are minors or for other reasons are not competent to consent, describe the proposed alternative source of consent. 


Will it be necessary for participants to take part in your study without their knowledge and consent? 

Yes  No

Save

## Participant Compensation



Will participants receive financial compensation (or course credits) for their participation? 

Yes  No

Will financial or other inducements (other than reasonable expenses) be offered to participants?

Yes  No

Please provide details.

Save


## Personal Data




Will identifiable personal information be collected, i.e. data which identifies or could enable identification of the research participant? 

Yes  No


Please give details of the types of information to be collected, e.g. personal characteristics, education, work role, opinions or experiences

Will the personal data collected include any special category data, or any information about actual or alleged criminal activity or criminal convictions which are not already in the public domain? 

Yes  No

Have you considered and addressed the need for 'data minimisation' in your use of personal data for the study? 

Yes  No

Will the data be anonymised at some stage during the study? 

Yes  No

Save

## Storage, Access and Disposal of Personal Data



Will any identifiable participant data be transferred outside of European Economic Area? 

Yes  No